



27724

PATENT  
ATTORNEY DOCKET NO.: 041465-5037

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Kaoru YAMAMOTO et al. )  
Application No.: 08/821,424 ) Group Art Unit: 2712  
Filed: March 21, 1997 ) Examiner: Chevalier R.  
For: INFORMATION RECORD MEDIUM, )  
APPARATUS FOR RECORDING THE )  
SAME AND APPARATUS FOR )  
REPRODUCING THE SAME )

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Assistant Commissioner for Patents  
Washington, D.C. 20231

## **AMENDMENT TRANSMITTAL FORM**

1. Transmitted herewith is an Amendment responding to the Office Action dated May 21, 1999.
  2. Additional papers enclosed:
    - Drawings:  Formal  Informal (Corrections)
    - Information Disclosure Statement
    - Form UNITED STATES PATENT AND TRADEMARK OFFICE-1449, \_\_\_ references included
    - Citations
    - Declaration of Biological Deposit
    - Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
  3. **EXTENSION OF TIME**  
The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136(a) apply.

1-WA/1257869.1

111/18/1999 ZABDMLA 000000044 08821424  
870.00 00  
02 55-117

- Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.
- Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)-(d), for the total number of months checked below:

Total Months Requested	Fee for Extension	[fee for Small Entity]
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 380.00	\$ 190.00
<input checked="" type="checkbox"/> three months	\$ 870.00	\$ 435.00
<input type="checkbox"/> four months	\$1,360.00	\$ 680.00

Extension of time fee due with this request: \$870.00

If an extension of time is required, please consider this a Petition therefor.

An extension for \_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_ is deducted from the total fee due for the total months of extension now requested.

#### 4. Fee Calculation

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims	19	minus	20	0	<u>_ x \$18.00 each =</u>	+ \$ 0.00
Independent Claims	4	minus	3	1	<u>1 x \$78.00 each =</u>	+ \$ 78.00
<input type="checkbox"/> First presentation of Multiple dependent claim(s)					\$ 260.00	+ \$ 0.00
<b>SUB-TOTAL =</b>						<b>\$ 78.00</b>
Reduction by $\frac{1}{2}$ for filing by a small entity						- \$ 0.00
<b>TOTAL FEE =</b>						<b>\$ 78.00</b>

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5. Fee Payment

- No fee is to be paid at this time.
- A check in the amount of \$948.00 representing fee for one additional independent claim and a three month extension of time. The Commissioner is hereby authorized to charge any additional extension of time fee or additional fee for claims due to Deposit Account No. 50-0310.
- The Commissioner is hereby authorized to charge any fees including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

By:



George S. Bardmesser  
Reg. No. 44,020

Dated: November 17, 1999

**MORGAN, LEWIS & BOCKIUS LLP**  
**1800 M Street, N.W.**  
**Washington, D.C. 20036-5869**  
**(202) 467-7000**

GSB/am